# On the Convergence of Odd-Degree Spline Interpolation 

Carl de Boor<br>Division of Mathematical Sciences, Purdue University, Lafayette, Indiana 47907

## 1. Introduction

For $k \geqslant 1$, let $S_{\pi}{ }^{k}$ denote the set of polynomial splines of order $k$ (or, degree $k-1)$ on the partition $\pi=\left\{t_{i}\right\}_{t=0}^{n}$ of the unit interval. Here

$$
0=t_{0}<t_{1}<\ldots<t_{n}=1,
$$

so that $S_{\pi}{ }^{k}$ consists of all $s \in C^{k-2}[0,1]$ which on each of the intervals $\left(t_{i}, t_{i+1}\right), i=0, \ldots, n-1$, reduce to a polynomial of degree $\leqslant k-1$.
For $k=2 m$, let $I_{\pi}{ }^{k}$ denote the linear operation of spline interpolation, i.e., [4], for each $f \in C^{m-1}[0,1], I_{\pi}{ }^{k} f$ is the unique element of $S_{\pi}{ }^{k}$ satisfying

$$
\begin{align*}
\left(I_{\pi}{ }^{k} f\right)\left(t_{i}\right) & =f\left(t_{i}\right), \quad i=0, \ldots, n, \\
\left(I_{\pi}^{k} f\right)^{(j)}\left(t_{i}\right) & =f^{(j)}\left(t_{i}\right), \quad i=0, n ; j=1, \ldots, m-1 . \tag{1.1}
\end{align*}
$$

We are interested in the behavior of

$$
\left\|\left(f-I_{\pi}{ }^{k} f\right)^{(J)}\right\|_{\infty}, \quad j=0, \ldots, 2 m-1,
$$

as the norm of $\pi$,

$$
\|\pi\|=\max \left(t_{i+1}-t_{i}\right),
$$

tends to zero. Here, and below,

$$
\|g\|_{\infty}=\sup \{|g(t)|: 0 \leqslant t \leqslant 1\} .
$$

Much is known about this problem in certain special cases. For one, the case $k=4$ of cubic spline interpolation has been covered extensively by many: [1], [2], [3], [12], [14], [15]. For the purposes of this note, Sharma and Meir's result [14] is the most pertinent. They prove that if $f \in C^{2}[0,1]$, then

$$
\left\|\left(f-I_{\pi}^{4} f\right)^{(2)}\right\|_{\infty} \leqslant 4 \omega\left(f^{(2)} ;\|\pi\|\right)
$$

for all partitions $\pi$ of $[0,1]$, where

$$
\omega(g ; \delta)=\sup \{|g(s)-g(t)|:|s-t| \leqslant \delta, \quad s, t \in[0,1]\}
$$

is the modulus of continuity of $g$ on $[0,1]$.
This implies [6] that

$$
\left\|f-I_{\pi}^{4} f\right\|_{452} \leqslant K\|\pi\|^{4}
$$

for all $f \in C^{(3)}[0,1]$ with $\omega\left(f^{(3)} ; \delta\right) \leqslant \delta$, for all $\delta \geqslant 0$, the constant $K$ being independent of $\pi$ or $f$. A similar result had been obtained earlier [3] under some restriction on $\pi$.

For $k>4$, little is known except in the case of a uniform partition [1], [9], [13], [16], [17]. There are some results [10], [14] for $k=6$ in the limiting case that all points of $\pi$ are repeated twice, i.e., value as well as first derivative are interpolated at each $t_{i}$, and, correspondingly, the elements of $S_{\pi}^{6}$ are merely in [ $\left.C^{3}\right][0,1]$.

In this note, it is proved that for all $f \in C^{(3)}[0,1]$,

$$
\left\|\left(f-I_{\pi}{ }^{6} f\right)^{(3)}\right\|_{\infty} \leqslant K_{3} \omega\left(f^{(3)} ;\|\pi\|\right)
$$

where the constant $K_{3}$ does not depend on $\pi$ or $f$.
It is hoped that the method of proof will be useful in the treatment of the general case. The analysis is therefore carried through for arbitrary $k$ up to the point where the complexity of certain computations makes me settle for $k=6$.

## 2. Least Squares Approximation by Splines

Let $m \geqslant 2$, and let $L_{\pi}{ }^{m}$ denote the linear projector on $C[0,1]$ which associates with each $g \in C[0,1]$ its best approximation $L_{\pi}{ }^{m} g$ in $S_{\pi}{ }^{m}$ with respect to the norm

$$
\|g\|_{2}=\left[\int_{0}^{1}|g(t)|^{2} d t\right]^{1 / 2}
$$

Lemma 2.1. If there exists a constant $c_{m}$, independent of $\pi$, such that

$$
\left\|L_{\pi}^{m}\right\|_{\infty}=\sup \left\{\left\|L_{\pi}{ }^{m} g\right\|_{\infty} /\|g\|_{\infty} ; g \in C[0,1]\right\} \leqslant c_{m}
$$

then, for all $f \in C^{m}[0,1]$,

$$
\left\|\left(f-I_{\pi}^{2 m}\right)^{(m)}\right\|_{\infty} \leqslant K_{m} \omega\left(f^{(m)} ;\|\pi\|\right)
$$

where $K_{m}$ is independent of $\pi$ or $f$.
Proof. By [4], if $f \in C^{m}[0,1]$, then

$$
\left(I_{\pi}^{2 m} f\right)^{(m)}=L_{\pi}^{m} f^{(m)}
$$

Hence, as $L_{\pi}{ }^{m}$ is a linear projector with $S_{\pi}{ }^{m}$ as its range,

$$
\left\|\left(f-I_{\pi}^{2 m} f\right)^{(m)}\right\|_{\infty} \leqslant\left(1+\left\|L_{\pi}^{m}\right\|_{\infty}\right) \operatorname{dist}\left(f^{(m)}, S_{n}^{m}\right)
$$

where

$$
\operatorname{dist}\left(g, S_{\pi}^{m}\right)=\inf _{s \in S_{\pi}^{m}}\|g-s\|_{\infty} .
$$

Since, by [5], for $g \in C[0,1]$,

$$
\operatorname{dist}\left(g, S_{\pi}^{m}\right) \leqslant \hat{D}_{m} \omega(g ;\|\pi\|)
$$

where the constant $\hat{D}_{m}$ depends neither on $g$ nor on $\pi$, the conclusion follows.
Q.E.D.

Corollary. Under the assumption of Lemma 2.1, there exists a constant $C_{m}$, independent of $\pi$, such that for all $f \in C^{2 m-1}[0,1]$ with $\omega\left(f^{(2 m-1)} ; \delta\right) \leqslant \delta$, for all $\delta \geqslant 0$,

$$
\left\|f-I_{\pi}^{2 m} f\right\|_{\infty} \leqslant C_{m}\|\pi\|^{2 m}
$$

Proof. By [5], there exists a constant $k_{1}$, independent of $f$ or $\pi$, such that

$$
\operatorname{dist}\left(f^{(m)}, S_{\pi}^{m}\right) \leqslant k_{1}\|\pi\|^{m}
$$

for all $f$ satisfying the above assumptions. Hence,

$$
\left\|\left(f-I_{\pi}^{2 m}\right)^{(m)}\right\|_{\infty} \leqslant\left(1+c_{m}\right) k_{1}\|\pi\|^{m}
$$

follows. But as $I_{\pi}^{2 m} f$ interpolates $f$ at the points of $\pi$, repeated application of Rolle's Theorem yields from this

$$
\left\|\left(f-I_{\pi}^{2 m}\right)^{(j)}\right\|_{\infty} \leqslant\left(1+c_{m}\right) k_{1} p_{j}\|\pi\|^{2 m-j}, \quad j=0, \ldots, m
$$

where, again, the constants $p_{j}$ do not depend on $f$ or $\pi$.
Q.E.D.

For the remainder of this section, we shall be concerned with bounding $\left\|L_{\pi}{ }^{m}\right\|_{\infty}$.

First, a general observation. If $\left\{x_{i}\right\}_{i=1}^{r}$ is a sequence of points in a real normed linear space $X$, and $\left\{\lambda_{i}\right\}_{l=1}^{r}$ is a sequence of continuous linear functionals on $X$, then the conditions

$$
P f=\sum_{i=1}^{r} \alpha_{i} x_{i}, \quad \lambda_{l}(f-P f)=0, \quad i=1, \ldots, r, \quad \text { for all } f \in X
$$

define a continuous linear projector $P$ on $X$, with range the linear span of $\left\{x_{i}\right\}_{1}^{r}$, provided the matrix

$$
A=\left(\lambda_{i} x_{j}\right)_{i, j=1}^{r}
$$

is nonsingular. We shall refer to $P$ in this case as being given or defined by $\left\{x_{i}\right\}_{1}{ }^{r}$ and $\left\{\lambda_{i}\right\}_{1}{ }^{r}$.

Lemma 2.2. Let $X$ be a real normed linear space and let $P$ be the linear projector on $X$ given by $\left\{x_{i}\right\}_{1}^{r} \subset X$ and $\left\{\lambda_{i}\right\}_{1}{ }^{r} \subset X^{*}$. Then

$$
\begin{equation*}
\|P\| \leqslant c\left\|A^{-1}\right\|_{\infty} \cdot \max _{i}\left\|\lambda_{i}\right\| \tag{2.1}
\end{equation*}
$$

where

$$
c=\sup _{\alpha \in \mathrm{R}^{r}}\left\|\sum_{i=1}^{r} \alpha_{i} x_{i}\right\|\| \| \alpha \|_{\infty} .
$$

Remark. We use the notations

$$
\|\alpha\|_{\infty}=\max _{i}\left|\alpha_{i}\right|, \quad \text { for all } \alpha=\left(\alpha_{i}\right) \in \mathbf{R}^{r}
$$

and

$$
\|B\|_{\infty}=\sup \left\{\|B \alpha\|_{\infty}\|\alpha\|_{\infty}: \alpha \in \mathbf{R}^{r}\right\}
$$

where $B$ is any real $r \times r$ matrix.
Proof of Lemma 2.2. Let $f \in X$ and $P f=\sum_{i=1}^{r} \alpha_{i} x_{i}$. Then

$$
\|P f\| \leqslant c\|\alpha\|_{\infty}, \quad \text { and } \quad A \alpha=\left(\lambda_{i} f\right)_{i=1}^{r}
$$

Hence

$$
\|P f\| \leqslant c\left\|A^{-1}\right\|_{\infty} \cdot\left\|\left(\lambda_{i} f\right)\right\|_{\infty} \leqslant c\left\|A^{-1}\right\|_{\infty} \cdot \max _{i}\left\|\lambda_{i}\right\| \cdot\|f\|,
$$

which proves (2.1), as $f$ was arbitrary.
Q.E.D.

As is well known, $L_{\pi}{ }^{m}$ is given by $\left\{x_{i}\right\}_{1}{ }^{r}$ and $\left\{\lambda_{i}\right\}_{1}^{r}$ where $\left\{x_{i}\right\}_{1}{ }^{r}$ is any basis of $S_{\pi}{ }^{m}$, and

$$
\lambda_{i} f=\int_{0}^{1} y_{i}(t) f(t) d t, \quad i=1, \ldots, r, \quad \text { for all } f \in C[0,1]
$$

with $\left\{y_{i}\right\}_{1}^{r}$ any basis of $S_{\pi}^{m}$. We shall choose $x_{i}$ and $y_{i}$ in such a way that

$$
\sup _{\alpha \in \mathbf{R} r}\left\|\alpha_{i} x_{i}\right\|_{\infty}\|\alpha\|_{\infty}=\max _{i}\left\|\lambda_{i}\right\|=1 .
$$

For then, by Lemma 2.2,

$$
\left\|L_{\pi}^{m}\right\|_{\infty} \leqslant\left\|A^{-1}\right\|_{\infty}
$$

and the problem of bounding $L_{\pi}{ }^{m}$ reduces to bounding the matrix $A=\left(\lambda_{i} x_{j}\right)$ below in the uniform norm, uniformly with respect to $\pi$.

For ease of notation, it is convenient to extend the partition $\pi$ of $[0,1]$ by the adjunction of points

$$
t_{1-2 m}<\ldots<t_{-1}<0, \quad 1<t_{n+1}<\ldots<t_{n+2 m-1}
$$

which, for the present, are otherwise arbitrary. Later, the first few of the additional $t_{i}$ 's will be made to coalesce, i.e.,

$$
\begin{equation*}
t_{1-m}=\ldots=t_{-1}=0, \quad 1=t_{n+1}=\ldots=t_{n+1-m} \tag{2.2}
\end{equation*}
$$

Define

$$
\begin{equation*}
x_{i}(t)=g\left(t_{i}, \ldots, t_{l+m} ; t\right)\left(t_{l+m}-t_{i}\right), \quad \text { for all } t \in \mathbf{R}, \tag{2.3}
\end{equation*}
$$

where $g\left(t_{i}, \ldots, t_{i+m} ; t\right)$ is the $m$ th divided difference in $s$, on the points $t_{i}, \ldots, t_{i+m}$, of the function

$$
\begin{equation*}
g(s ; t)=(s-t)_{+}^{m-1} \tag{2.4}
\end{equation*}
$$

Further, set

$$
\begin{equation*}
\lambda_{i} f=m \int_{-\infty}^{\infty} g\left(t_{i}, \ldots, t_{i+m} ; t\right) f(t) d t . \tag{2.5}
\end{equation*}
$$

The following facts about $x_{i}$ and $\lambda_{i}$ are known [8], [5];
Lemma 2.3 (i) The function $x_{i}(t)$ vanishes outside the interval $\left[t_{i}, t_{i+m}\right]$ and is positive on $\left(t_{i}, t_{i+m}\right)$.
(ii) The sequence of functions $\left\{x_{i}\right\}_{i=1-m}^{n-1}$ (restricted to the interval $\left.[0,1]\right)$ is a basis for $S_{\pi}{ }^{m}$; further, for all $\alpha_{l} \in \mathbf{R}, i=1-m, \ldots, n-1$, one has

$$
\left\|\sum_{i} \alpha_{i} x_{i}\right\|_{\infty} \leqslant \max _{i}\left|\alpha_{i}\right| .
$$

(iii) If $f \in C[I]$, with $\left[t_{i}, t_{i+m}\right] \subset I$, then

$$
\left|\lambda_{t} f\right| \leqslant \sup _{t \in I}|f(t)| .
$$

Corollary. The linear projector $L_{n}{ }^{m}$ is given by $\left\{x_{i}\right\}_{\{=1-m}^{n-1}$ and $\left\{\lambda_{i}\right\}_{i=1-m}^{n-1}$ provided (2.2) holds. In that case

$$
\left\|L_{m}{ }^{m}\right\|_{\infty} \leqslant\left\|A^{-1}\right\|_{\infty}, \quad \text { where } A=\left(\lambda_{l} x_{j}\right) .
$$

The calculation of bounds on $\left\|A^{-1}\right\|_{\infty}$ for a given real matrix $A$ is in general difficult. The best-known result concerns strictly diagonally dominant $A$ : If $A=\left(\alpha_{i j}\right)$, and

$$
\min _{i}\left|\alpha_{i i}-\sum_{j \neq i}\right| \alpha_{i j}| | \geqslant d^{-1}>0
$$

then $A^{-1}$ exists and

$$
\left\|A^{-1}\right\|_{\infty} \leqslant d .
$$

This result is applicable to the matrix $A$ under discussion only in the simplest case, $m=1$.

Lemma 2.4. If all $(n-1)$-minors of the $n \times n$ matrix $A=\left(\alpha_{i j}\right)$ are nonnegative and, for some $\gamma=\left(\gamma_{l}\right)$,

$$
\min _{i}\left(\sum_{j=1}^{n}(-1)^{i-j} \gamma_{J} \alpha_{i j}\right) \geqslant d^{-1}>0
$$

then $A^{-1}$ exists and

$$
\left\|A^{-1}\right\| \leqslant d\|\gamma\|
$$

Proof. Let $B$ be the algebraic adjoint of $A$ and let $D$ be the diagonal matrix $\left((-1)^{i} \delta_{i j}\right)$, where $\delta_{i j}$ is the Kronecker delta. Then, by assumption, $D B D^{-1}$ has all entries nonnegative, and $\hat{\gamma}=D A D^{-1} \gamma$ has all components $\geqslant d^{-1}>0$. Hence

$$
\operatorname{det}(A) \gamma=D B D^{-1}\left(D A D^{-1}\right) \gamma
$$

is not zero, unless $B=0$, which would imply $A=0$, a contradiction. Therefore $A^{-1}$ exists and $\left(\hat{\alpha}_{i j}\right)=D A^{-1} D^{-1}$ has all entries nonnegative. With this,

$$
\begin{aligned}
\|\gamma\|_{\infty} & =\left\|\left(D A^{-1} D^{-1}\right) \hat{\gamma}\right\|_{\infty}=\max _{i}\left|\sum_{j=1}^{n} \hat{\alpha}_{i j} \hat{\gamma}_{j}\right| \\
& \geqslant\left(\max _{i} \sum_{j=1}^{n} \hat{\alpha}_{i j}\right) \min _{i} \hat{\gamma}_{j} \geqslant\left\|D A^{-1} D^{-1}\right\|_{\infty} d^{-1}
\end{aligned}
$$

hence, $\left\|A^{-1}\right\|_{\infty}=\left\|D A^{-1} D^{-1}\right\|_{\infty} \leqslant d\|\gamma\|_{\infty}$.
Q.E.D.

As we shall show in a moment, the matrix $A=\left(\lambda_{i} x_{j}\right)$ has all minors nonnegative, so that Lemma 2.4 applies. Further, by definition (2.3) of $x_{j}$ and (2.5) of $\lambda_{i}$,

$$
\lambda_{i} x_{j}=m\left(t_{j+m}-t_{j}\right) \int_{t_{i}}^{t_{i+m}} g\left(t_{i}, \ldots, t_{l+m} ; t\right) g\left(t_{j}, \ldots, t_{j+m} ; t\right) d t
$$

and, therefore, by Lemma 2.3 (i),

$$
\begin{equation*}
\lambda_{i} x_{j}=0 \quad \text { if } \quad t_{i+m} \leqslant t_{j} \quad \text { or } \quad t_{j+m} \leqslant t_{i} \tag{2.7}
\end{equation*}
$$

This implies that $A$ is a band matrix, and that

$$
\lambda_{i} x_{j}=f_{i-j}\left(t_{i-m+1}, \ldots, t_{i+2 m-1}\right), \quad i, j=-m+1, \ldots, n-1
$$

where

$$
f_{r}\left(s_{-m+1}, \ldots, s_{2 m-1}\right)=\left\{\begin{array}{l}
m\left(s_{r+m}-s_{r}\right) \int_{s_{0}}^{s_{m}} g\left(s_{0}, \ldots, s_{m} ; t\right) g\left(s_{r}, \ldots, s_{r+m} ; t\right) d t \\
\quad \text { for }|r| \leqslant m-1 \\
0 \quad \text { otherwise }
\end{array}\right.
$$

Also, if $\gamma_{-2 m+2}, \ldots, \gamma_{n+m-2}$ are any scalars, and (2.2) holds, then

$$
\begin{equation*}
\sum_{j=-m+1}^{n-1} \lambda_{i}\left(\gamma_{j} x_{j}\right)=\sum_{j=i-m+1}^{i+m-1} \lambda_{i}\left(\gamma_{j} x_{j}\right), \quad i=-m+1, \ldots, n-1, \tag{2.9}
\end{equation*}
$$

since by (2.2) and (2.7),

$$
\lambda_{i} x_{j}=0 \text { for } j<-m+1 \text { and } j>n+m-2 .
$$

Therefore, if $\gamma_{j}=C\left(t_{j}, \ldots, t_{j+m}\right)$, for all $j$ where $C$ is some function of $m+1$ variables, then

$$
\begin{align*}
\sum_{j=-m+1}^{n-1}(-1)^{i-j} \lambda_{i}\left(\gamma_{j} x_{j}\right) & =\sum_{r=-m+1}^{m-1}(-1)^{r} C\left(t_{i+r}, \ldots, t_{i+r+m}\right) f_{r}\left(t_{i-m+1}, \ldots, t_{i+2 m-1}\right) \\
& =F\left(t_{i-m+1}, \ldots, t_{i+2 m-1}\right), \quad i=-m+1, \ldots, n-1 \tag{2.10}
\end{align*}
$$

With this, Lemma 2.4 shows that bounding $\left\|A^{-1}\right\|_{\infty}$ independently of $\pi$ reduces to showing that for some choice of the function $C$ in (2.10), with

$$
\left|C\left(s_{0}, \ldots, s_{m}\right)\right| \leqslant 1 \quad \text { whenever } \quad s_{0} \leqslant \ldots \leqslant s_{m} ; s_{0}<s_{m}
$$

the function $F$ defined by (2.10) satisfies

$$
F\left(s_{m+1}, \ldots, s_{2 m-1}\right) \geqslant d^{-1}>0
$$

whenever $s_{-m+1} \leqslant \ldots \leqslant s_{2 m-1} ; s_{i}<s_{i+m}$, for all $i$.
Theorem 2.1. Let $C\left(s_{0}, \ldots, s_{m}\right)$ be a real-valued function defined on

$$
T=\left\{\left(s_{i}\right)_{i=0}^{m} \in \mathbf{R}^{m+1}: s_{0} \leqslant s_{1} \leqslant \ldots \leqslant s_{m} ; s_{0}<s_{m}\right\}
$$

and continuous there, which satisfies

$$
\sup _{\boldsymbol{T}}\left|C\left(s_{0}, \ldots, s_{m}\right)\right| \leqslant 1 .
$$

Further, define F on

$$
\hat{T}=\left\{\left(s_{i}\right)_{i=-m+1}^{2 m-1} \in \mathbf{R}^{3 m-1}: s_{-m+1} \leqslant \ldots \leqslant s_{2 m-1} ; s_{j}<s_{j+m} \text { for all } j\right\}
$$

by

$$
\begin{equation*}
F\left(s_{-m+1}, \ldots, s_{2 m-1}\right)=\sum_{j=-m+1}^{m-1}(-1)^{j} C\left(s_{j}, \ldots, s_{j+m}\right) a_{j} \tag{2.11}
\end{equation*}
$$

where

$$
\begin{align*}
& a_{j}=m\left(s_{j+m}-s_{j}\right) \int_{s 0}^{s_{m}} g\left(s_{0}, \ldots, s_{m} ; t\right) g\left(s_{j}, \ldots, s_{j+m} ; t\right) d t \\
& j=-m+1, \ldots, n-1 . \tag{2.12}
\end{align*}
$$

If

$$
\inf _{T} F\left(s_{-m+1}, \ldots, s_{2 m-1}\right) \geqslant d_{m}^{-1}>0,
$$

then

$$
\begin{equation*}
\left\|L_{\pi}{ }^{m}\right\|_{\infty} \leqslant d_{m}, \quad \text { for all partitions } \pi . \tag{2.13}
\end{equation*}
$$

Proof. By the corollary to Lemma 2.3, it is sufficient to prove that $\left\|A^{-1}\right\|_{\infty} \leqslant d_{m}$ with $A=\left(\lambda_{t} x_{j}\right)$.
It follows from ([11]; Ch. 10, Theorem 4.1) or ([7]; Ch. III, Section 2 (3)) that all minors of the matrix

$$
\left(g\left(s_{l}, \ldots, s_{i+m} ; u_{j}\right)_{i, j=1}^{r}\right.
$$

are nonnegative, provided

$$
s_{1} \leqslant s_{2} \leqslant \ldots \leqslant s_{r} ; s_{j}<s_{j+m} \text { for all } j ; u_{1}<u_{2}<\ldots<u_{r}
$$

and $r \geqslant 1$. Since, with the condition (2.2),

$$
\begin{aligned}
\lambda_{i} x_{j}=m\left(t_{j+m}-t_{j}\right) \int_{0}^{1} g\left(t_{i}, \ldots, t_{i+m} ; t\right) g\left(t_{j}, \ldots, t_{j+m} ; t\right) d t, \\
i, j=-1+m, \ldots, n-1,
\end{aligned}
$$

the "basic composition formula" ([11]; pp. 16-17) implies that all minors of the matrix $A$ are nonnegative. ${ }^{1}$ This, together with the discussion preceding the theorem, concludes the proof.
Q.E.D.

Remark. Since the function $F$ defined by (2.11) and (2.12) is continuous on $\hat{T}$, it is sufficient to show that

$$
F\left(s_{-m+1}, \ldots, s_{2 m-1}\right) \geqslant d_{m}^{-1}
$$

for all $s_{-m+1}<s_{-m+2}<\ldots<s_{2 m-1}$, to prove (2.13).

## 3. Quintic Spline Interpolation

The simplest case covered by the analysis of the preceding section is that of cubic spline interpolation, i.e., $k=4$ or $m=2$. In this case, the $a_{j}$ 's of (2.12) are given by

$$
a_{j}=\frac{1}{3} \cdot \begin{cases}\left(s_{1}-s_{0}\right) /\left(s_{2}-s_{0}\right), & j=-1, \\ 2, & j=0, \\ \left(s_{2}-s_{1}\right) /\left(s_{2}-s_{0}\right), & j=1 .\end{cases}
$$

[^0]Hence, with $C\left(s_{0}, s_{1}, s_{2}\right) \equiv 1$, one gets

$$
F\left(s_{-1}, \ldots, s_{3}\right)=\frac{1}{3}\left\{-\frac{s_{1}-s_{0}}{s_{2}-s_{0}}+2-\frac{s_{2}-s_{1}}{s_{2}-s_{0}}\right\}=\frac{1}{3} .
$$

Therefore, $\left\|L_{\pi}^{2}\right\|_{\infty} \leqslant 3$.
The next simplest case is quintic spline interpolation, i.e., $k=6$ or $m=3$. In this case

$$
a_{j}=\frac{1}{10} \cdot \begin{cases}\beta_{-1} \frac{s_{1}-s_{0}}{s_{3}-s_{0}}, & j=-2 \\ \beta_{0}-a_{-2}+2 \frac{s_{1}+s_{2}-2 s_{0}}{s_{3}-s_{0}}, & j=-1 \\ 2\left(3-\beta_{0}\right), & j=0 \\ \beta_{0}-a_{2}+2 \frac{2 s_{3}-s_{2}-s_{1}}{s_{3}-s_{0}}, & j=1 \\ \beta_{1} \frac{s_{3}-s_{2}}{s_{3}-s_{0}}, & j=2\end{cases}
$$

where

$$
\begin{equation*}
\beta_{j}=\frac{\left(s_{j+2}-s_{j+1}\right)^{2}}{\left(s_{j+3}-s_{j+1}\right)\left(s_{j+2}-s_{j}\right)}, \quad \text { for all } j \tag{3.1}
\end{equation*}
$$

One computes

$$
\begin{equation*}
10 \sum_{j=-2}^{2}(-1)^{j} a_{j}=2-4 \beta_{0}+2\left[\beta_{-1}\left(s_{1}-s_{0}\right)+\beta_{1}\left(s_{3}-s_{2}\right)\right] /\left(s_{3}-s_{0}\right) \tag{3.2}
\end{equation*}
$$

Hence, as

$$
0 \leqslant \beta_{j} \leqslant 1, \quad \text { for all } j,
$$

the choice $C\left(s_{0}, \ldots, s_{3}\right) \equiv 1$ will not give the desired result. We shall now show that with

$$
C\left(s_{0}, \ldots, s_{3}\right)=\frac{1}{2}\left(1+\beta_{0}\right)
$$

one gets

$$
F\left(s_{-2}, \ldots, s_{5}\right) \geqslant 1 / 30
$$

One finds that

$$
\begin{align*}
10 \sum_{j=-2}^{2}(-1)^{j} \beta_{j} a_{j}= & 6 \beta_{0}-\beta_{0}\left(2 \beta_{0}+\beta_{-1}+\beta_{1}\right) \\
& -2\left[\beta_{-1}\left(s_{1}-s_{0}\right)+\beta_{1}\left(s_{3}-s_{2}\right)\right] /\left(s_{3}-s_{0}\right) \\
& -\beta_{-1}\left[2\left(s_{2}-s_{0}\right)-\beta_{-1}\left(s_{1}-s_{0}\right)\right] /\left(s_{3}-s_{0}\right) \\
& -\beta_{1}\left[2\left(s_{3}-s_{1}\right)-\beta_{1}\left(s_{3}-s_{2}\right)\right] /\left(s_{3}-s_{0}\right) \\
& +\beta_{-1} \beta_{-2}\left(s_{1}-s_{0}\right) /\left(s_{3}-s_{0}\right)+\beta_{1} \beta_{2}\left(s_{3}-s_{2}\right) /\left(s_{3}-s_{0}\right) . \tag{3.3}
\end{align*}
$$

Hence, by omitting the last two terms in (3.3) (which are nonnegative) and combining (3.2) with (3.3), one gets

$$
\begin{align*}
20 F\left(s_{-2}, \ldots, s_{5}\right) \geqslant 2 & +\beta_{0}\left(2-2 \beta_{0}-\beta_{-1}-\beta_{1}\right) \\
& +\left(s_{3}-s_{0}\right)^{-1}\left[\beta_{-1}\left(\beta_{-1}\left(s_{1}-s_{0}\right)-2\left(s_{2}-s_{0}\right)\right)\right. \\
& \left.+\beta_{1}\left(\beta_{1}\left(s_{3}-s_{2}\right)-2\left(s_{3}-s_{1}\right)\right)\right] . \tag{3.4}
\end{align*}
$$

Now,

$$
\beta_{1}=\frac{\left(s_{3}-s_{2}\right)^{2}}{\left(s_{3}-s_{1}\right)\left(s_{4}-s_{2}\right)} \leqslant \frac{s_{3}-s_{2}}{s_{3}-s_{1}}=1-\frac{s_{2}-s_{1}}{s_{3}-s_{1}},
$$

hence

$$
-\beta_{0}-\beta_{1} \geqslant-\frac{s_{2}-s_{1}}{s_{3}-s_{1}}-\left(1-\frac{s_{2}-s_{1}}{s_{3}-s_{1}}\right)=-1
$$

Similarly,

$$
-\beta_{0}-\beta_{-1} \geqslant-1
$$

Therefore,

$$
\begin{equation*}
\beta_{0}\left(2-2 \beta_{0}-\beta_{1}-\beta_{-1}\right) \geqslant 0 \tag{3.5}
\end{equation*}
$$

Next,

$$
h(s)=s\left[s\left(s_{1}-s_{0}\right)-2\left(s_{2}-s_{0}\right)\right]
$$

has negative slope on $0<s<1$. Hence, since

$$
0 \leqslant \beta_{-1} \leqslant \frac{s_{1}-s_{0}}{s_{2}-s_{0}} \leqslant 1,
$$

one has

$$
\begin{align*}
\beta_{-1}\left[\beta_{-1}\left(s_{1}-s_{0}\right)-2\left(s_{2}-s_{0}\right)\right] & \geqslant \frac{s_{1}-s_{0}}{s_{2}-s_{0}}\left[\frac{\left(s_{1}-s_{0}\right)^{2}}{s_{2}-s_{0}}-2\left(s_{2}-s_{0}\right)\right] \\
& =\frac{\left(s_{1}-s_{0}\right)^{3}}{\left(s_{2}-s_{0}\right)^{2}}-2\left(s_{1}-s_{0}\right) . \tag{3.6}
\end{align*}
$$

Combining (3.4), (3.5) and (3.6) (with an analogous estimate for the term in (3.4) involving $\beta_{1}$ ), one gets

$$
\begin{equation*}
20 F\left(s_{-2}, \ldots, s_{5}\right) \geqslant\left[\frac{\left(s_{1}-s_{0}\right)^{3}}{\left(s_{2}-s_{0}\right)^{2}}+\frac{\left(s_{3}-s_{2}\right)^{3}}{\left(s_{3}-s_{1}\right)^{2}}+2\left(s_{2}-s_{1}\right)\right] /\left(s_{3}-s_{0}\right) \tag{3.7}
\end{equation*}
$$

Now set

$$
s_{1}-s_{0}=a, \quad s_{2}-s_{1}=b, \quad s_{3}-s_{2}=c
$$

to simplify notation. Then by (3.7),

$$
\begin{gather*}
20 F\left(s_{-2}, \ldots, s_{5}\right) \geqslant\left[\left(a^{3}+b(a+b)^{2}\right)(b+c)^{2}+\left(c^{3}+b(c+b)^{2}\right)(a+b)^{2}\right] / \\
/(a+b+c)(a+b)^{2}(c+b)^{2} \tag{3.8}
\end{gather*}
$$

One has

$$
\frac{2}{3}\left(a+\frac{1}{2} b\right)(a+b)^{2}=\frac{2}{3} a^{3}+\frac{5}{3} a^{2} b+\frac{4}{3} a b^{2}+\frac{1}{3} b^{3}
$$

hence,

$$
\begin{aligned}
a^{3}+b(a+b)^{2} & =a^{3}+a^{2} b+2 a b^{2}+b^{3} \\
& =\frac{2}{3}\left(a+\frac{1}{2} b\right)(a+b)^{2}+\frac{1}{3}\left(a(a-b)^{2}+a b^{2}+2 b^{3}\right) \\
& \geqslant \frac{2}{3}\left(a+\frac{1}{2} b\right)(a+b)^{2},
\end{aligned}
$$

since $a, b$, and $c$ are all nonnegative. Therefore,

$$
\begin{align*}
20 F\left(s_{-2}, \ldots, s_{5}\right) & \geqslant \frac{2}{3}\left[\left(a+\frac{1}{2} b\right)(a+b)^{2}+\left(c+\frac{1}{2} b\right)(c+b)^{2}(a+b)^{2}\right] / \\
\quad & \quad(a+b+c)(a+b)^{2}(c+b)^{2} \\
& =\frac{3}{3} . \tag{3.9}
\end{align*}
$$

Because of Theorem 2.1 and its corollary, this proves
Theorem 3.1. For all partitions $\pi$ of $[0,1]$, the linear projector $L_{\pi}{ }^{3}$ on $C[0,1]$ of least-squares approximation by $S_{\pi}^{3}$ is bounded in the uniform norm, independently of $\pi$. One has the estimate

$$
\left\|L_{\pi}{ }^{3}\right\|_{\infty} \leqslant 30
$$

Hence, there exists a constant $K_{6}$ such that for all partitions $\pi$ of $[0,1]$ and all $f \in C^{5}[0,1]$ with $\omega\left(f^{(5)}, \delta\right) \leqslant \delta$, for all $\delta \geqslant 0$,

$$
\left\|f^{(j)}-\left(I_{\pi}{ }^{6} f\right)^{(j)}\right\|_{\infty} \leqslant K_{6}\|\pi\|^{6-J}, \quad j=0, \ldots, 3
$$

where $I_{\pi}{ }^{6}$ denotes interpolation by quintic splines as defined in (1.1).

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[^0]:    ${ }^{1}$ The author gratefully acknowledges that this argument was pointed out to him by W . Studden.

